

USEF General Rule Change Packet

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/31/2022 | |

Rule Change Intent

The Arabian committee is requesting updates be made to GR1005.8 for the purpose of clean-up and reorganization. The changes being requested include condensing of information, addition of clarification language for Senior EC judges, updating information to better align with AHA Handbook allowances, and combination of multiple points for ease of reading. These changes will better organize the rule to produce a more user-friendly format.

Proponent Details Contact Information

Arabian

Nicole Zerbee

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Linked Rules Comments

Committee Actions
Licensed Officials

Natl Breed & Disciplines Council - Advisory

SUBCHAPTER 10-B GENERAL RULES AND FEES

GR1005 Officiating Eligibility and Guest Cards

8. Arabian

a. U.S. Regional **and National Championship** Level Shows, Pacific Slope Championships, East Coast Championships, East and West Canadian Breeders Championships, and U. S. National Championship classes must be judged by a Federation Registered ('R) Arabian Division judge or **a Senior Equestrian Canada (EC)** Senior judge who is on the AHA Recognized Judges List as an accredited National/ Regional judge.

1. Exception: AHA "Specialty" classes: Working Hunter/**Hunter Hack**, Jumper, Cutting, Dressage, **Western Dressage**, Hunter/Jumping Seat Equitation, **Saddle Seat Equitation, Reining Seat Equitation**, Reining, Working Cow, Reined Cow Horse, **Herd Work, Ranch Horse**, Trail, Carriage **Pleasure** Driving, **Breeding/In-Hand**, and Sport Horse. Detailed specifications for these sections can be found in the AHA Handbook. **Refer to the EC Guest card chart for EC eligibility.**

2. b. There is no limit to the number of Guest Cards an official may receive for Arabian Specialty carded judges (i.e. Reining, Working Cow Horse, Trail).

~~c. There is no limit to the number of Guest Cards an official may receive for Arabian foreign experts.~~

b. d. Breeding/gelding in-hand - Guest cards will only be granted to foreign breeding experts and/or those holding a Specialty Card with the Arabian Horse Association. A list of foreign experts will be maintained by the Federation Licensed Officials Department. **There is no limit to the number of Guest Cards an official may receive for Arabian foreign breeding experts.**

c. e. Reining –Judges licensed by the National Reining Horse Association (NRHA) and/or the National Reined Cow Horse Association (NRCHA) and/or those holding a Specialty Card with the Arabian Horse Association may officiate in Reining classes with a Guest Card.

~~f. Trail– National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA) judges licensed in another breed (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association are allowed a Guest Card. Guest Cards are not required for Trail Course Designers (see AR219).~~

d. g. **Ranch, Trail, Working/or Reined Cow Horse, Herd work** Classes- National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA), judges licensed in another breed (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association are allowed **may officiate in Ranch, Trail, Working or Reined Cow Horse, or Herd Work classes with** a Guest Card.

~~h. Ranch Riding– National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA), judges licensed by another breed organization (i.e. AQHA, APHA, ApHC, etc.), and those holding a Specialty Card with the Arabian Horse Association may officiate in Ranch classes with a Guest Card.~~

e. i. Sport Horse – Senior **EC** Equestrian Canada judges licensed in Dressage, Hunter, or Jumper divisions may officiate with a guest card. See GR1005.1.

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|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/29/2022 | |

Rule Change Intent

The reporting of officials at competitions has been instrumental in providing accurate competition records, assisting with licensed official applications, and tracking issues that arise with specific classes. If the Federation has access to this data, the Licensed Officials Department will be able to efficiently address concerns and improve our data records related to officiating. At this time, we only know which officials were at a competition and in what capacity, but we do not know who officiated each class. Dressage has led the way with providing this information and it has proved to be extremely valuable. This rule change would require all judges and course designers to be reported with each class. Stewards and Technical Delegates are not required since they do officiate over the entire competition.

| Proponent Details | Contact Information |
|-------------------|---------------------|
|-------------------|---------------------|

Licensed Officials

Alina Brazzil

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| Linked Rules | Comments |
|--------------|----------|
|--------------|----------|

Committee Actions

1. All competition results and other data as specified by **the** Federation in the license agreement must be electronically transmitted to **the** Federation within 10 days following a Licensed **C**ompetition, including all corrections, changes, and additions to the prize list. All results must meet the criteria and format of the Federation result template with all required fields as published by the Federation and must be submitted as outlined in the license agreement. A fee will be assessed for any required data not received electronically. Full results include, but are not limited to: the names and Federation numbers of all horses, riders, and owners in all classes;; the number of entries;; all placings;; **the names and Federation numbers of all Licensed Officials who officiated in each class, except Stewards and Technical Delegates;** and money paid out. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. The competition is responsible for listing either the **A**ctive member or the person that paid the Show Pass fee in the results. (Exception GR901.9).

[...]

2. The secretary of each Federation Licensed Competition must, within 10 calendar days of the competition, **electronically** send to the Federation, ~~either electronically or by mail with proof of delivery,~~ a list of judges, stewards, ~~technical delegates and C~~ompetition **O**fficials, as well as the full results with all required fields.

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Rule Change Intent

Guest Cards have a two-lifetime limit unless stated otherwise in the specific division rules. An approval from the Board of Directors is not necessary for an individual to potentially receive another Guest Card if they have already received two. The LOC Chairperson and two members of the LOC could accomplish this task, if needed, and would match the approval steps for Special Card applications.

| Proponent Details | Contact Information |
|--------------------|------------------------------------|
| Licensed Officials | Alina Brazzil abrazzil@usef.org |

| Linked Rules | Comments |
|--------------|----------|
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Committee Actions

6. Guest Cards and Restrictions

g. Guest Cards will not be granted to any person more than twice in a lifetime in a particular division without the approval of the ~~Board of Directors~~ **Federation's Licensed Officials Committee Chairman or their designee and any two members of the Federation's Licensed Officials Committee**, unless outlined differently in these rules.

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| Standard | 12/1/2023 | 8/29/2022 | |

Rule Change Intent

In order to ensure proper reporting and coverage at Federation licensed competitions, the number of required Stewards, Technical Delegates, and Certified Schooling Supervisors should be aligned and updated to be based on the previous year's horse count.

| Proponent Details | Contact Information |
|--------------------|--|
| Licensed Officials | Alina Brazzil abrazzil@usef.org |

| Linked Rules | Comments |
|--------------|----------|
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Committee Actions

GR1033 Stewards and Technical Delegates

5. ~~Except in Hawaii and Alaska, no C2 Steward may officiate for more than three consecutive years at the same competition or at more than three consecutive competitions run by the same Licensee.~~
 6. Except in Hawaii and Alaska, no ~~C1~~ **Steward or Technical Delegate** may officiate for more than three consecutive competitions run by the same Licensee. **For purposes of this rule, multiple, consecutive day Dressage Competitions that are run by the same Licensee and held within a six-day period will be counted as one competition.**
 7. ~~Except in Hawaii, no Technical Delegate may officiate for more than two consecutive years at the same competition or at more than two consecutive competitions run by the same Licensee. In Alaska, no dressage Technical Delegate may officiate more than three consecutive years at the same competition, but are not otherwise restricted from officiating at consecutive competitions run by the same Licensee. For the purposes of this rule, multiple, consecutive day Dressage Competitions held within a six-day period will be counted as one competition, if they are run by the same Licensee.~~
 8. ~~A Driving Technical Delegate shall not serve in that capacity at the same Combined Driving Event, Driving Trial, Driven Dressage Show, or Pleasure Driving Show more than three consecutive years.~~
- [...]
10. No Steward or Technical Delegate may officiate at more than one competition at the same time. ~~Exception: Federation Licensed Special Competitions excluding dressage.~~

GR1040 Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)

- [...]
6. Stewards and Technical Delegates are not to be used as Competition Staff, a Competition Official (Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Judge, Veterinarian, and Course Designer), FEI Official, or in any other paid position not related to their proper duties at Licensed Competitions where they are officiating with the following exceptions:
 - a. At a competition where more than one Steward or Technical Delegate is officiating, and after a Steward or Technical Delegate has entirely completed his duties for the day at that Licensed Competition, he may officiate as a Certified Schooling Supervisor if the Steward or Technical Delegate is licensed as a Certified Schooling Supervisor. At no time may a competition have less than the requisite number of Stewards and Technical Delegates as required under GR1211 and other applicable rules.
 - i. A C1 Steward may use their C1 Steward's License to act as a Certified Schooling Supervisor. C1 Stewards acting as a Certified Schooling Supervisor are not subject to the restrictions in GR1039 or GR1040.1-.4.**
 - b. C2 Stewards who are also licensed as Dressage Technical Delegates may serve in both roles at Licensed Breed Restricted Competitions offering Open Dressage classes. When a dually licensed C2 Steward/Dressage Technical Delegate is officiating, the Open Dressage classes must be their sole responsibility until those classes are complete. If there are additional non-Open Dressage classes occurring at the same time as the Open Dressage classes, a separate Dressage Technical Delegate must officiate for the Open Dressage classes.
 - c. ~~Eventing and Driving Technical Delegates holding Federation and FEI Technical Delegate licensure may serve in both roles at dually licensed FEI/Federation Eventing and Driving Competitions.~~
 - d. ~~Federation Vaulting Technical Delegates and FEI Vaulting Stewards holding both licenses may serve in both roles at dually licensed FEI/Federation Vaulting Competitions.~~
 7. ~~At dually licensed FEI/Federation Dressage, Driving, Eventing, Jumping, and/or Vaulting competitions:~~
 - a. ~~Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates also holding licensure as a FEI Steward for Dressage, Para-Dressage, Driving, Eventing, and Vaulting are prohibited from serving in both roles on the same day(s) as Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates and FEI Stewards. (DR125.6).~~
 - b. ~~C1 Stewards also holding FEI Jumping Steward licensure are prohibited from serving in both roles.~~

GR1211 Appointment of Officials and Employees

4. Stewards and Technical Delegates
- [...]
- b. If required to officiate, a Dressage Technical Delegate must be present and officiate for all Dressage classes held on the day(s) which they are in attendance. If no other classes except Dressage are held on a licensed day of a Breed-Restricted Competition, a Steward does not need to be present in addition to the Dressage Technical Delegate.
 - c. If a competition finds it necessary to substitute a steward or technical delegate for one who is officially designated in

the prize list and/or catalogue and who is unable to serve due to circumstances beyond his control, the restrictions of GR1304.14 and/or GR1304.25 shall be non-effective.

d. Competitions are urged to engage a steward for each ring when classes are held simultaneously and to select individuals who are well versed in the divisions being offered.

e. Competitions are required to obtain the necessary Stewards or Technical Delegates in accordance with the chart below:

| | | Number of Previous Year's Horse Entries | | | | |
|---|------------------|---|-----------|-------------|-------------|---------------|
| | | 0-500 | 501-1,000 | 1,001-1,500 | 1,501-2,000 | 2,001 or more |
| # of competition rings used simultaneously | 1 | 1 | 2 | 3 | 4 | 4 |
| | 2-3 | 1 | 2 | 3 | 4 | 4 |
| | 4-7 | 2 | 3 | 4 | 4 | 4 |
| | 8 or more | 3 | 4 | 4 | 5 | 5 |

i. Competition Management is permitted to obtain more than the minimum number of Stewards or Technical Delegates required above.

ii. See EV153 for additional Eventing Technical Delegate requirements.

f. A competition in its first year of operation must have at least one applicable Steward or Technical Delegate for every two competition rings being used simultaneously regardless of the number of horses entered.

g. The maximum number of rings being used simultaneously at any point during the competition will be counted for the purposes of GR1211.

[renumber accordingly]

e. With the exception of Hunter/Jumper competitions (see GR1211.3e), competitions using more than three performance areas simultaneously must have at least two stewards on duty. Dressage arenas do not count as a performance area. If more than six performance areas are used simultaneously, at least three stewards must be on duty.

f. A Federation licensed Hunter/Jumper competition must appoint C1 Stewards as follows:

1. When one to four performance areas are in use simultaneously, at least one Steward must be on duty.
2. When five to eight performance areas are in use simultaneously, at least two Stewards must be on duty.
3. When nine or more performance areas are used simultaneously, at least three Stewards must be on duty.
4. A competition using four performance areas simultaneously that had more than 500 horses competing the previous year must have two Stewards on duty.
5. A competition in its first year of operation must have two Stewards on duty if four to eight performance areas are in use simultaneously.
6. When more than one steward is required, the licensed Stewards must designate one as the Senior Steward for that competition and must notify competition management.

g. For each competition day that a Dressage Competition schedules 300 or more rides (including Dressage and DSHB entries), the competition must have at least two Dressage Technical Delegates on duty. When only one competition ring is in session, only one Dressage Technical Delegate need be present on the grounds. Dressage Competitions holding both a national competition and a CDI must have a separate Dressage Technical Delegate in addition to the FEI Chief Dressage Steward.

h. At all competitions using more than one competition ring, management must provide a hand-held communication device (i.e. walkie-talkie or cell phone) to at least one **each** steward or technical delegate.

i. A Paso Fino competition with more than 175 Paso Fino horses competing based on the previous competition entry numbers, determined by the amount of Federation fees paid to the Federation, shall be required to have at least two stewards.

HU Appendix A. USEF Hunter Schooling Rules

5. Duties of Certified Schooling Supervisors (see also GR1031)

- a. The Certified Schooling Supervisor's or official competition Steward's decision regarding schooling rules in the warm-up/schooling area is final.
- b. Competition Management is responsible for providing a Category 1 Steward or Certified Schooling Supervisor to supervise schooling in the Hunter schooling area during any Hunter class offering \$~~10~~**15**,000 or more in prize money.
- c. Within the thirty minutes prior to the scheduled start of the class, any schooling over obstacles by an entry in a class of \$~~10~~**15**,000 or more, or any USHJA National Hunter Derby or USHJA International Hunter Derby must be done in a designated area, supervised by a C1 Steward or Certified Schooling Supervisor.

JP103 Schooling

2. Schooling Supervision Requirements

- a. A C1 Steward or Certified Schooling Supervisor must be present in the schooling area during any Jumper class offering \$~~10~~**15**,000 to \$24,999 or more in prize money. **See GR1040.6 for conflict of interest restrictions.**
- ~~b. A Registered C1 Steward or Certified Schooling Supervisor must be appointed to supervise schooling before and during the classes offering \$25,000 or more in prize money.~~
- ~~c. A C1 Steward or Certified Schooling Supervisor is recommended to be present during schooling for Five, Six or Seven Year Old classes.~~
- ~~d. A minimum of one competition Steward must always remain available to attend to other stewarding duties.~~
- e. Management is responsible for providing Certified Schooling Supervisors to supervise schooling for the Jumper warm up area of the ring offering the most prize money during that particular session during scheduled classes and warmups at Jumper Rating **Level** 5 or higher competitions. (Exception: Competitions that are exclusively comprised of FEI Jumping classes, where FEI Stewards must be present). This Certified Schooling Supervisor for Jumper Rating 5 or higher competitions cannot be one of the Competition Stewards.
- f. For all other Jumper warm-up areas and during scheduled classes and warm-ups, Management is responsible for providing designated individuals to supervise schooling. These individuals must have a clear view of their assigned warm up area.

~~3. Schooling supervisors may be individual contractors at the competition or may be competition stewards. However, a minimum of one competition steward must always remain available to attend to other stewarding duties. For JP 103.2.a, .b, and .c, a person may use their C1 Steward's License to act as a Certified Schooling Supervisor and that person would not be subject to the restrictions in GR1039 since they are not acting as one of the required official Competition Stewards.~~

~~4. For JP 103.2.a, .b, .c, and .e, a person may use their C1 Steward's License to act as a Certified Schooling Supervisor and that person would not be subject to the restrictions in GR 1039, since they are not acting as one of the required official Competition Stewards.~~

DR125 Competition Licensing and Officials

~~5. For each competition day that a Dressage Competition schedules 300 or more rides (including Dressage and DSHB entries), the competition must have at least two Dressage Technical Delegates on duty. When only one competition ring is in session, only one Dressage Technical Delegate (DTD) need be present on the grounds. When a competition has more than seven competition rings on any day, at least two Dressage Technical Delegates must be on duty. Three Dressage Technical Delegates are required for an event when a Dressage Competition schedules more than 1200 rides for the event. When a DTD is officiating as a second DTD for only one day of a multi-day competition due to the show scheduling 300 or more rides on that day, this DTD is subject to the restrictions of GR1041.2 and GR1304.~~

~~6. Dressage Competitions holding both a national competition and a CDI must have a separate Dressage Technical Delegate in addition to the FEI Chief Dressage Steward. However, the number of CDI rides is not counted in the number of rides requiring an additional Dressage Technical Delegate.~~

DR126

| | | | | |
|---|---|--|---|--|
| Level 5 CDIs/CPEDIs USEF HP Selection Trials (I1- GP, ParaEquestrian) National Championship | Level 4 Regional Championships, HP (I1) and other competitions as required or as approved by Federation (and USDF when | Level 3 Developing Program Qualifiers (JR/YR/YA, YH & DH) and other competitions as required or as approved by Federation (and USDF | Level 2 Other licensed Dressage shows | Level 1 "Introduction" to Licensed Competitions |
|---|---|--|---|--|

| | | required), upon application | when required), upon application | | |
|----|--|--|--|--|------------------------------------|
| TD | One or more "R" TD required per DR125 and FEI Steward (CI's only). See GR1211. At least 'R' TD is required. CDIs/CPEDIs are subject to FEI rules. Additional TDs can be 'R' or 'r'. | At least one "R" TD required per DR125 See GR1211. At least one 'R' TD is required. Additional TDs can be 'R' or 'r'. | One or more per DR125 See GR1211. | One or more per DR125 See GR1211. | "R" or "r" DTD. See GR1211. |

DC975 Rotation of Officials

Rotation of Officials: a Judge/Technical Delegate/Course Designer may not have been the Judge/Technical Delegate/Course Designer at the same event for more than 3 consecutive years without taking at least one year break **See GR1033 for rotation requirements for Technical Delegates. A Judge or Course Designer is not permitted to officiate at the same competition for more than three consecutive years.** At Short Format Driving Events, DC975 Rotation of Officials does not apply.

EV158 Technical Delegate Qualifications and Duties

2. Duties

d. A Classic Three-Day Event may be held concurrently with other Events. ~~The Technical Delegate may be used for national and international Events held concurrently. The FEI Technical Delegate may be used for the national Event provided they are licensed by the Federation, otherwise a Federation licensed Technical Delegate must be appointed.~~ The same ~~Ground Jury~~ **Judges**, Course Designer, and veterinarian may be used for both Events, provided they are licensed to officiate at the applicable level.

| Rule Change Type | Effective Date | Draft Received | Board Action |
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| Standard | 12/1/2023 | 6/28/2022 | |

Rule Change Intent

To include SNELL Foundation as an acceptable helmet certification along with ASTM for use in USEF Competition. The FEI recognizes the SNELL Foundation helmet certification as acceptable protective headgear.

| Proponent Details | Contact Information |
|-------------------|------------------------------------|
| Safety | Katlynn Sacco kwilbers@usef.org |

| Linked Rules | Comments |
|--------------|----------|
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Committee Actions

Arabian

Carriage Pleasure Driving

Dressage

Driving

Endurance

English Pleasure

Eventing

Friesian

Morgan

Reining

Roadster

2. It is compulsory for all persons at Federation licensed hunter, jumper, or hunter/jumper competitions when mounted anywhere on the competition grounds, to wear properly fastened protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. It must be properly fitted with harness secured. Exception: In Hunter or Jumper classes, adults may be allowed to remove their headgear while accepting prizes and during the playing of the National Anthem only; they must refasten their headgear prior to the lap of honor. It is compulsory for riders in Paso Fino classes, both open and breed restricted including Hunter Hack, where jumping is required and when jumping anywhere on the competition grounds to wear properly fastened protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. It must be properly fitted with harness secured. A Show Committee, Competition Management, and Licensed Officials must bar riders without protective headgear from entering the ring for classes in which protective headgear is required and may bar any entry or person from entering the ring if not suitably presented to appear before an audience.

3. Except as may otherwise be mandated by local law, all sub-junior exhibitors in the Paso Fino division, while riding or driving or while in the driving cart anywhere on the competition grounds, must wear properly fitting protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. Harness must be secured and properly fitted. Any rider violating this rule at any time must immediately be prohibited from further riding until such headgear is properly in place. For all exhibitors competing in the hunter, jumper, or hunter/jumping seat equitation section, if a rider's chin strap becomes unfastened, the rider may stop, re-fasten the chin strap and continue his/her round without penalty or elimination. A judge may, but is not required to stop a rider and ask them to refasten a chin strap which has become unfastened, again without penalty to the rider. Members of the Armed Services or the Police may wear the Service Dress Uniform.

4. Any exhibitor may wear protective headgear (ASTM/SEI/**SNELL**) and/or a protective vest either body protecting or inflatable, specifically designed for use in equestrian sport in any division or class without penalty from the judge. The Federation recommends that the vest pass or surpass the current ASTM standard F1937 or be certified by the Safety Equipment Institute. For Eventing, inflatable vests are permitted only when worn over a body protecting vest.

7. Except as may otherwise be mandated by local law, the Federation strongly encourages all riders, while riding anywhere on the competition grounds, to wear protective headgear with harness secured which passes or ~~surpasses~~ **exceeds** ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. (Exception hunter, jumper, or hunter/jumper competitions refer to GR801.2) It is the responsibility of the rider, or the parent or guardian or trainer of the junior exhibitor to see to it that the headgear worn complies with appropriate safety standards for protective headgear intended for equestrian use, and is properly fitted and in good condition, and the Federation, Show Committee, Competition Management, and Licensed Officials are not responsible for checking headgear worn for such compliance.

AR111 Protective Headgear

2. Except as may otherwise be mandated by local law riders in all Working Hunter, Jumper, Hunter Hack, English Trail, and all Hunt Seat Equitation classes (not to jump or over obstacles and when jumping anywhere on the competition grounds, must wear properly fastened protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. It must be properly fitted with harness secured. A Show Committee must bar riders without protective headgear from entering the ring for classes in which protective headgear is required and may bar any entry or person from entering the ring if not suitably presented to appear before an audience.

4. Any exhibitor may wear protective headgear (ASTM/SEI/**SNELL**) and/or a protective safety vest, specifically designed for use in equestrian sport in any division or class without penalty from the judge. The Federation recommends that the vest meet or surpass the current ASTM standard or be certified by the Safety Equipment Institute.

8. Except as may otherwise be mandated by local law, the Federation strongly encourages all riders, while riding anywhere on the competition grounds, to wear protective headgear with harness secured which passes or ~~surpasses~~ **exceeds** ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. It is the responsibility of the rider, or the parent or guardian or trainer of the junior exhibitor to see to it that the headgear worn complies with appropriate safety standards for protective headgear intended for equestrian use, and is properly fitted and in good condition, and the Federation, Show Committee, and Licensed Officials are not responsible for checking headgear worn for such compliance.

AR233 General

9. Trail Horse - Under Saddle

- a. Trail classes may be offered for Western or English, but the two styles are not to be combined into one class at any time as there are distinct differences.
- b. There is no rail work. The course must be designed to require each horse to show all three (3) gaits, (walk, jog/trot at least thirty (30) feet, lope/canter right and left lead) somewhere between and/or over obstacles as part of its work, and quality of movement and cadence should be considered as part of the obstacle score. Unnecessary delays while approaching or negotiating an obstacle shall be penalized.
- c. ASTM/**SNELL** Helmets are required for all English Trail classes.

AR236 Trail Obstacle Mandatory Dimensions

4. JUMPS (Note: ASTM/**SNELL** helmets are not required for Western trail classes with jump obstacles. ASTM/**SNELL** Helmets are required for all under saddle English Trail classes.)

- a. Mounted: Maximum height 24"
- b. Amateur and Junior to ride classes mounted, must be cross rails and may not exceed 18"). The height of a cross rail shall be measured at the top of the center of the intersection of the poles. The height of the jump cups should be set so the angle of the poles does not exceed approximately 30 degrees.
- c. Lead Over: Maximum height 12"
- d. Minimum width between standards of a jump: 4 feet e. Combinations: 12 feet for a one stride; 6 feet for a no stride f. Box Jumps and L Jumps: Poles must be at least 12 feet long.

AR275 General

4. Safety is of the utmost importance in tack and attire.

- a. Saddle must fit rider.
- b. Rider's feet must be engaged in the stirrups/irons.
- c. Leading rein must be attached to a cavesson or a halter placed under or over the bridle.
- d. It is strongly encouraged that the rider wears protective headgear with harness secured which passes or ~~surpasses~~ **exceeds** ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**.
- e. Boots are required.

CP207 Turnout

4. Protective Headgear: All juniors in all competitions must wear properly fastened protective headgear which meets or exceeds current ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the**

applicable certification label. It must be properly fitted with harness secured. Failure to comply will result in elimination. All competitors, attendants, grooms and passengers are encouraged to use of protective headgear

CP105 Responsibilities of Drivers and Officials

11. At all times while in a carriage, all Juniors must wear properly fastened protective headgear which meets or exceeds current ASTM (American Society for Testing and Materials) ~~/~~, SEI (Safety Equipment Institute) ~~standards for equestrian use and carries the SEI tag,~~ **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label.** Headgear must be properly fitted with harness secured. Failure to comply while competing may result in elimination. Failure to comply after being notified to do so by an Official may result in a Warning Card and/or Disqualification.

DR120 Dress

1.1 Protective Headgear. Protective headgear is defined as a riding helmet, which meets or exceeds current ASTM (American Society for Testing and Materials) ~~/~~, SEI (Safety Equipment Institute) ~~standards for equestrian use and carries the SEI tag,~~ **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label.** From the time horses are officially admitted to the competition grounds by competition management, anyone mounted on a horse at any time on the competition grounds, including non-competing riders, riders on non-competing horses, mounted participants in exhibition classes, and those competing in all classes and tests, including Para Dressage tests, must wear protective headgear as defined by this rule and otherwise in compliance with GR801. The harness must be secured and properly fitted. Any rider violating this rule at any time must immediately be prohibited from further riding until the headgear is properly in place. Protective headgear may be the same as or a coordinating color with the coat, and may include contrast coloring, accent, and crystal decoration. See DR136 for dress code rules for exhibitions.

DR206 Equipment and Turn Out

6. Handlers, assistant handlers and whip assistants of any age must wear protective headgear as defined by this rule and otherwise in compliance with GR801 while handling or assisting in the competition ring. Any handler or assistant violating this rule at any time must immediately be prohibited from further participation until such headgear is properly in place. Protective headgear is defined as a riding helmet which meets or exceeds ASTM (American Society for Testing and Materials) ~~/~~, SEI (Safety Equipment Institute) ~~standards for equestrian use and carries the SEI tag,~~ **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label.** The harness must be secured and properly fitted.

DC913 Dress, safety, and whips

1. Dress in Dressage and cones on a dressage carriage

1.6 Penalties for improper dress are stated under Rules DC941 and DC965. In Cones, it is compulsory for all persons to wear a properly fastened protective headgear. Such protective headgear must meet or exceed ASTM (American Society for Testing and Materials) ~~/~~, SEI (Safety Equipment Institute) ~~standards for equestrian use and carries the SEI tag,~~ **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label.** An athlete/groom who loses their headgear or whose chin strap becomes unfastened while on course must recover and replace it, or in the case of the chin strap becoming unfastened must refasten it. In such case, the jury will ring the bell, stop the time, thus incurring 5 penalties and the athlete will halt to retrieve their headgear and/or refasten the chin strap. An athlete who continues with a chin strap incorrectly fastened or not fastened will be eliminated unless the circumstances rendered it unsafe for

the athlete to stop immediately in order to refasten the strap. BOD 1/15/22 Effective 1/21/22

2. Dress in Marathon and Combined Marathon

2.2 Athletes and grooms must wear securely fastened protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**, and a back protector in the Marathon Sections. Infringement will incur elimination. BOD 1/15/22 Effective 1/21/22

3. Dress for Juniors

3.1 At all times, while on a carriage, junior athletes must wear a body protector and a securely fastened protective headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. Infringement will result in elimination.

CD Glossary

2. Protective headgear must be certified under one of the following standards: ASTM (American Society for Testing Materials), SEI (Safety Equipment Institute, Inc.), **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. BSI/BS-EN (British Standards Institution); EN (European Union Standards); or AS/NZS (Australian/New Zealand Standards)

EN106 Dress Code

1. PROTECTIVE HEADGEAR.

a. It is compulsory for all person at Federation licensed competitions when mounted to wear properly fastened headgear which meets or exceeds ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label** It must be properly fitted with harness secured.

EP102 Appointments

3. ENGLISH PLEASURE HUNTER SEAT CLASSES: Forward, balance seat or side saddle, snaffle bridle, pelham with two reins, kimberwicke bits or a full bridle (curb and snaffle). If a full bridle is used, it must be of hunter style and excessive length of curb shank shall be penalized. Breast plates are permissible, but martingales are prohibited. Horses may be shown with or without braided manes and/or tails. For rider, traditional hunter-style jacket, breeches or hunter jodhpurs, dark hunting cap, derby, or protective headgear and appropriate boots. Tall dress or tall field boots are preferred as traditional. Paddock or jodhpur boots with matching half chaps are permitted although not considered as traditional. A stock, choker or four in hand with any color shirt is correct. In all classes gloves, hunter crop or bat, and spurs are optional. Junior riders must wear fastened protective headgear which meets or exceeds ASTM (American Society of Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label** (See GR801.4) when mounted. Shadbellies are prohibited.

EV114 Dress

1. PROTECTIVE HEADGEAR.

b. Upon arrival, anyone riding a horse must wear properly fitting protective headgear which passes or surpasses ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label**. Harness must be secured and properly fitted.

2. PROTECTIVE VESTS.

- a. A body protecting vest must be worn warming-up for and in the cross-country test. Stable, team or club colors are permitted. The Federation recommends that the vest should pass or surpass the current ASTM standard F1937 or be certified by the Safety Equipment Institute. Inflatable vests are permitted only when worn over a body protecting vest.

FR136 Attire

1. Riders should wear coats of a traditional Hunt style. Coats should be of a conservative color (such as black, navy, or other dark customary colors) and of a material appropriate for area and season. Traditional light colored breeches or jodhpurs with black or brown boots should be worn. Gloves are optional. Traditional Hunt caps or safety helmets are to be worn. ASTM,-SEI **or SNELL** approved safety helmets may be worn by Junior riders and are recommended for all riders.

FR216 Lead line Equitation Walk-Trot 10 & under

4. Exhibitors may show in any style seat (Hunter Seat, Dressage, Saddle Seat, and Western Seat). However, the attire, tack, and appointments must match the chosen seat. Refer to Equitation, Chapter EQ for correct position and appointments for Hunter Seat, Saddle Seat, and Western Seat. For Dressage see FR139. For appropriate tack for each seat refer to FR135 for Hunter Seat, FR139, FR145 and DR121 for Dressage, FR121, FR126, and FR130 for Saddle Seat, and FR190 for Western Seat.
 - a. Exhibitor must wear properly fitting (ASTM/SEI/**SNELL**) protective headgear. Harness must be secured and properly fitted. (GR801)

FR217 Lead line Equitation—6 and under

2. Exhibitors may use any style of tack and appointments; however, the rider's attire and the mount's tack should be of the same type.
 - a. Exhibitor must wear properly fitting (ASTM/SEI/**SNELL**) protective headgear. Harness must be secured and properly fitted. (GR801)

FR236 General

2. Riders may use any style of tack and appointments; however, the rider's attire and the horse's tack should be of the same type.
 - a. Rider must wear properly fitting (ASTM/SEI/**SNELL**) protective headgear. Harness must be secured and properly fitted. (GR801)

MO142 Attire

Except as may otherwise be mandated by local law, exhibitors in Bike or Under Saddle classes shall wear stable colors, cap and jacket to match; protective headgear of any color is acceptable and encouraged. See GR801. Except as may

otherwise be mandated by local law, while riding or driving a Roadster anywhere on the competition grounds, all juniors riding or driving a Roadster in Morgan, Bike or Under Saddle classes must wear properly fitting protective headgear which passes or surpasses ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label** as specified in GR801. Harness must be secured and properly fitted. A matching cover may be worn over the protective headgear or the protective headgear may be painted in matching stable colors.

MO204 Appointments and Attire

d. Attire:

2. Headgear: Any exhibitor may wear protective headgear (ASTM/SEI/**SNELL**) in lieu of a western hat and/or a protective safety vest, specifically designed for use in equestrian sport in any division or class without penalty from the judge.

RN103 Scoring

5. The following will result in a score of 0:

n. failure to wear appropriate western attire as outlined in the USA Reining Rules and Regulations. Western attire may include protective headgear (ASTM/SEI/**SNELL**). See GR801.4 and RN101.8;

RD107 Appointments

4. Except as may otherwise be mandated by local law, protective headgear is strongly recommended for everyone showing in any class in the Roadster Division as outlined in GR801.4, .7 and .8. Except as may otherwise be mandated by local law, while riding or driving anywhere on the competition grounds, all juniors riding or driving in Roadster to Bike or Roadster Under Saddle classes must wear properly fitting protective headgear which passes or surpasses ASTM (American Society for Testing and Materials), SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag, **or the Snell Memorial Foundation standards for equestrian use and carries the applicable certification label** as specified in GR801. Harness must be secured and properly fitted. A matching cover may be worn over the protective headgear or the protective headgear may be painted in matching Roadster Silks.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 6/28/2022 | |

Rule Change Intent

Require USEF Membership for those acting as the Safety Coordinator at USEF-licensed competitions. The Safety Coordinator is responsible for many safety and welfare related duties at USEF-licensed competitions and interacts with participants. This individual should USEF Member with the appropriate Safe Sport Training. Additionally, requiring membership for these individuals will ensure that USEF has the most up to date contact information for this individual should follow up be required following a serious accident or injury at an event.

| Proponent Details | Contact Information |
|-------------------|--------------------------------------|
| Safety | Katlynn Wilbers kwilbers@usef.org |

| Linked Rules | Comments |
|--------------|----------|
| | |

Committee Actions

1. All Licensed Competitions must appoint a Safety Coordinator, who shall oversee the establishment and coordination of medical and veterinary services. ***This person must be a Senior Active member of the Federation.*** This person may have other roles or duties in relation to the competition except:
 - a. The Safety Coordinator may not serve as a Licensed Official at the competition (exception: Course Designers); and
 - b. The Safety Coordinator may not compete as a rider, driver, vaulter, longeur, or handler at the competition.
2. The Safety Coordinator shall:
 - a. Oversee provisions for the safety and welfare of exhibitors, horses, and spectators;
 - b. Oversee the implementation of the Accident Preparedness Plan;
 - c. Communicate with Competition Management and Medical Personnel prior to the start of competition to ensure that parties are aware of the requirements of the Accident Preparedness Plan;
 - d. Provide Medical Personnel with a map of the competition grounds, which includes plans for vehicle access to competition/warm-up areas and stabling.
 - e. Have his name and contact information posted along with the required emergency information at the competition

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/30/2022 | |

Rule Change Intent

To include PAs and NPs as individuals permitted to provide medical clearance for return to competition following suspected head injury or concussion.

| Proponent Details | Contact Information |
|------------------------------------|--------------------------------------|
| Human and Equine, Safety & Welfare | Katlynn Wilbers kwilbers@usef.org |

| Linked Rules | Comments |
|--------------|----------|
|--------------|----------|

Committee Actions

GR848.6. Return to Competition. In the event that a competitor is determined ineligible to compete under one of the preceding paragraphs, the competitor shall submit to the Federation, a signed release, which includes criteria established by the Federation from time to time, completed by a licensed physician, **physician assistant, or nurse practitioner** in order to be eligible to once again compete in Federation-Licensed or endorsed competitions.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 6/28/2022 | |

Rule Change Intent

Horses require adequate rest and REM sleep in a 24-hour period. While horses can rest during the day, sleep typically occurs after midnight in the dark hours. Conditions unsuitable for sleep (e.g. loud environments, bright lights, etc.) may limit the ability of horses to get adequate sleep which can lead to sleep deprivation and excessive drowsiness, impacting equine performance and wellbeing.

| Proponent Details | Contact Information |
|-------------------|--------------------------------------|
| Safety | Katlynn Wilbers kwilbers@usef.org |

| Linked Rules | Comments |
|--------------|----------|
|--------------|----------|

Committee Actions

GR1215 Stabling

3. At competitions which offer overnight stabling, adequate lighting must be provided. ***Between the hours of 11:00 PM and 5:00 AM, of the time zone in which the competition is taking place, stabling must be dark and quiet to allow stabled horses a quiet period of rest daily.***

4. It is recommended that at Level 4 and Level 5 Dressage Competitions, and at competitions with an A rated division provide, upon request of the official competition veterinarian, a suitable area, protected from the elements, secured from public view, adequately lighted, with adequate electrical supply and running water, to serve as a first aid station for the emergency treatment of ill and injured horses.

5. Additional stabling requirements for Dressage Competitions are listed in the Dressage Levels chart posted on the Federation website.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 7/12/2022 | |

Rule Change Intent

In an effort to support safety and welfare at USEF-licensed competitions, the Human and Equine, Safety & Welfare Committee recommends the creation of the Safety and Welfare Steward license, to be deployed across all USEF-licensed competitions. This individual would work with the Licensed Steward or TD at each competition to enforce safety and welfare related rules, with a general focus on monitoring and enforcing rules in stabling, and monitoring lunging and warm-up areas.

Safety and Welfare Stewards will be subject to the requirements outlined in the LO Policies and Procedures, be licensed by the Federation, and be considered a type of Federation licensed official.

Standard minimum requirements:

- USEF member, completed SafeSport Training, completed and passed a background check, and completed LO Diversity, Equity, and Inclusion training

License-specific requirements:

- Experience at a minimum of two Federation licensed competitions within the past five years in at least one of the following positions: competitor, trainer, owner, licensed official, competition employee (i.e. in-gate personnel, jump crew), competition official (including but not limited to those listed in GR113)
- Complete an online course. Lessons to be developed, but focused on the roles and areas of responsibility, and also include standard USEF curriculum such as social media, regulatory process, etc.
- Apprenticing
 - Apprentice at least two competitions with a current Federation Steward or TD
 - Exception: if already a Federation licensed Steward or TD, apprenticeship is not required.
- Final exam
 - Pass a final exam on Federation rules with a score of 85% or higher

| Proponent Details | Contact Information |
|------------------------------------|--|
| Human and Equine, Safety & Welfare | Katlynn Wilbers kwilbers@usef.org |

| Linked Rules | Comments |
|--------------|----------|
| | |

| Committee Actions |
|--------------------|
| Licensed Officials |

GR1012 General

1. Stewards shall be licensed by the Federation as ~~Registered, Recorded, or Special~~, in either one or both of *in* the following Categories:-

a. **A Category 1 (C1) Steward is licensed to officiate the following specialized Divisions and Sections: Hunter, Hunter Breeding, Hunter/Jumping Seat Equitation, Jumper, Welsh, Connemara, and English Pleasure.**

1. Any steward officiating USEF Open (not restricted to a breed) hunter and/or jumper classes must be a Senior Active member in good standing of the United States Hunter Jumper Association, Inc.

b. **A Category 2 (C2) Steward is licensed to officiate the following specialized Divisions and Sections: Andalusian/Lusitano, Arabian, Connemara, English Pleasure, Friesian, Hackney Harness, Morgan, National Show Horse, Parade, Paso Fino, Reining, Roadster, American Saddlebred, Saddle Seat Equitation, Shetland, Western/Reining Seat Equitation, Welsh, Western Dressage and Western.**

c. An Equine Safety and Welfare Steward is licensed to officiate at Federation Licensed Competitions.

2. A Category 1 or Category 2 steward is entitled to officiate in only the corresponding divisions and sections of the license category listed above.

GR1037 Additional Duties of Equine Safety and Welfare Stewards

In addition to the responsibilities of a steward listed in GR1034, the powers, duties and responsibilities of an Equine Safety and Welfare Steward are as follows:

1. Monitor the schooling, exercise, lunging, and stabling areas paying special attention to the safety of horses and riders, including but not limited to:

a. Overworking of horses;

b. Cruelty to and abuse of a horse (see GR838);

c. Overcrowding of the schooling, warm-up, and lunging areas;

d. In hunter, jumper, and equitation schooling, exercise, and lunging areas at Hunter/Jumper competitions: unsafe use of electronic devices (See HU Appendix A, JP103, EQ106);

e. Safeguard the welfare of the horse against violations of the Federation's Drugs and Medications rules, and any of the Federation's prohibited practices;

f. Ensure stabling, if provided, is in compliance with all applicable stabling rules; and

g. Report to the Competition Steward or Technical Delegate on violations of Federation rules.

GR1005 Officiating Eligibility and Guest Cards

[...]

10. Category 1 and 2, **and Equine Safety and Welfare** Stewards

a. Guest Cards are not permitted.

GR1211 Appointment of Officials and Employees

3. Stewards/Technical Delegates

a. Appoint and identify in the prize list and catalogue one or more licensed Federation Stewards licensed to officiate in the divisions and sections for which the competition is approved who shall be present at each session of the competition. A technical delegate must be appointed for Eventing Competitions, Dressage Competitions and Regular and Local Competitions offering "open" Dressage Division classes (i.e., classes which are not limited to certain breeds) or classes above Third Level. (Exception: A Category 2 or Combined Category steward may officiate through Fourth Level in the Andalusian, Arabian, Friesian,

Morgan or other breed-restricted Divisions at regular or local competitions, only if classes are not part of an "open" Dressage Division.) If required to officiate, a Dressage Technical Delegate must be present and officiate for all Dressage classes held on the day(s) which he/she is in attendance. If no other classes except Dressage are held on a licensed day of a breed-restricted regular or local competition, a steward does not need to be present in addition to the Dressage Technical Delegate.

b. If a competition finds it necessary to substitute a steward or technical delegate for one who is officially designated in the prize list and/or catalogue and who is unable to serve due to circumstances beyond his control, the restrictions of

GR1304.14 and/or GR1304.25 shall be non-effective.

c. Competitions are urged to engage a steward for each ring when classes are held simultaneously and to select individuals who are well versed in the divisions being offered.

d. With the exception of Hunter/Jumper competitions (see GR1211.3e), competitions using more than three performance areas simultaneously must have at least two stewards on duty. Dressage arenas do not count as a performance area. If more than six performance areas are used simultaneously, at least three stewards must be on duty.

e. A Federation licensed Hunter/Jumper competition must appoint C1 Stewards as follows:

1. When one to four performance areas are in use simultaneously, at least one Steward must be on duty.
2. When five to eight performance areas are in use simultaneously, at least two Stewards must be on duty.
3. When nine or more performance areas are used simultaneously, at least three Stewards must be on duty.
4. A competition using four performance areas simultaneously that had more than 500 horses competing the previous year must have two Stewards on duty.
5. A competition in its first year of operation must have two Stewards on duty if four to eight performance areas are in use simultaneously.
6. When more than one steward is required, the licensed Stewards must designate one as the Senior Steward for that competition and must notify competition management.

f. For each competition day that a Dressage Competition schedules 300 or more rides (including Dressage and DSHB entries), the competition must have at least two Dressage Technical Delegates on duty. When only one competition ring is in session, only one Dressage Technical Delegate need be present on the grounds. Dressage Competitions holding both a national competition and a CDI must have a separate Dressage Technical Delegate in addition to the FEI Chief Dressage Steward.

g. Beginning December 1, 2024, at all Federation Licensed Competitions where the previous year's entries were greater than five hundred (500) horses, an Equine Safety and Welfare Steward must be appointed. Beginning December 1, 2025, an Equine Safety and Welfare Steward must be appointed at all Federation Licensed Competitions.

g. At all competitions using more than one competition ring, management must provide a hand-held communication device (i.e. walkie-talkie or cell phone) to at least one steward or technical delegate.

h. A Paso Fino competition with more than 175 Paso Fino horses competing based on the previous competition entry numbers, determined by the amount of Federation fees paid to the Federation, shall be required to have at least two stewards.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/24/2022 | |

Rule Change Intent

The accurate identification of horses is essential. At Federation Licesned Competitions, the best manner in which to identify horses is through a Federation Horse Identification Number, which does not require recording with the Federation. During times of disease outbreak, natural disasters, theft, and to protect against fraud, microchipping of horses is fundamental to good horsemanship. The requirement for all horses competing at USEF licensed competitions to be microchipped is in the interest of horse welfare. While microchipping of horses will be required, members will be encouraged to explore the use of biothermal microchips that allow for Bluetooth connectivity for monitoring of horse temperatures.

| Proponent Details | Contact Information |
|-------------------|--|
| Veterinary | Stephen Schumacher sschumacher@usef.org |

| Linked Rules | Comments |
|--------------|----------|
| | |

Committee Actions

1. All horses competing in Federation licensed competitions must be properly identified. For all such competitions, entries for each horse must include either a Federation-issued Unique Horse Identification Number or a registration number from a Federation Recognized Affiliate. Additionally, a Federation Recognized Discipline Affiliate may require horses to be registered with their organization, and if so, the entry must also include that registration number.

[...]

10. All horses competing at Federation Licensed Competitions must provide a microchip number that verifies the animal. A microchip used to verify identity must be a fifteen-digit ISO compliant 11784/11785 chip and be implanted in the nuchal ligament of the animal.

a. This rule will have a transition period from December 1, 2024 until November 30, 2025. During this period, animals that are not in compliance with this rule will not receive points or be eligible for Federation programs and awards. After this period, all horses that are competing in classes which require Federation registration, which are not microchipped will be ineligible to compete.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/30/2022 | |

Rule Change Intent

Stewards and Technical Delegates are often elsewhere on the competition grounds when an accident or injury to a human or horse, or a collapse of a horse, occurs. Due to the Federation's reporting requirements, notification to the Steward or Technical Delegate by the Safety Coordinator or Management within one hour of its happening, will enable the Steward or TD to report in the required amount of time.

Proponent Details Contact Information

USHJA

Katie Patrick

kpatrick@ushja.org

Linked Rules Comments

Committee Actions

Human and Equine, Safety & Welfare

Licensed Officials

Draft 1: Recommends Approval 10/17/2022

2. The Safety Coordinator shall:

...

f. Inform the senior Steward or Technical Delegate of any accident or injury to a human or horse within one hour of the accident or injury for proper follow-up and reporting as required by the Federation, in the event a Steward or Technical Delegate is unable to be present at an injury or accident to a human or a horse.

GR848 Accidents Involving Individuals

4.d. The competition Steward or TD shall notify Competition Management and the Safety Coordinator of the fall/accident as soon as practicable. ***In the event that a Steward or Technical Delegate is unable to be present at an accident or injury, the Safety Coordinator or Manager must inform the senior Steward or Technical Delegate of said accident or injury within one hour for proper follow-up and reporting, as required by the Federation.***

GR849 Mandatory Reporting and Cooperation of Horse/Pony Collapse

3. The Steward/TD shall report to Competition Management and the Federation within one hour of notification of a collapse. ***In the event that a Steward or Technical Delegate is not able to be present at a collapse of a horse, the Safety Coordinator or Manager must inform the senior Steward or Technical Delegate of said collapse within one hour of the collapse for proper follow-up and reporting, as required by the Federation.***

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/30/2022 | |

Rule Change Intent

To strengthen the definition of the Heritage competition designation, to amend the qualifying criteria, and to implement a review process every fifth year for Heritage designation maintenance. Additionally, the Federation will provide enhanced benefits to Heritage competitions offering promotional support and marketing materials.

In April of 2019, the USEF Board of Directors requested that the Heritage designation undergo a review to determine the program’s purpose, relevancy, and value as well as to refine the eligibility requirements associated with the designation. USEF suspended the Heritage competition classification process, and in early 2021, the program review process was assigned to a sub-group of the Competition Task Force. Upon review, the sub-group concluded that the Heritage designation does hold value to the equestrian community and should include competitions that exemplify the best characteristics of equestrian sport, uphold high standards at their designated level, and demonstrate sustainability and value within the local community. As a result, the definition and qualifying criteria was strengthened to clarify designation.

To fulfill the USEF Board’s mandate to suspend processing of the Heritage designation applications until the program could undergo a full review, the task force conducted its program review and formulated its recommendations, which it hoped to implement as expeditiously as possible to address inquiries received from representatives of competitions seeking the designation that have been held in abeyance for over one year. This proposal was originally put forward in February, 2022 as an Extraordinary Rule Change but was not certified as such by the USEF Legislative Committee. It is now being submitted as a Standard rule change proposal in the 2022 rule change for implementation (if approved) on 12/01/2023.

To ensure that competitions either requesting or being invited to apply for the Heritage designation meet the definition and criteria, the Working Group recommends the following application process be implemented:

New Process for Application

- Applicable Affiliate invites a competition to apply for Heritage designation; alternatively, a competition seeking the designation can complete an application and submit it to the Affiliate to initiate the process
- Affiliate receives and reviews requisite materials
- All applications submitted to the Affiliate must be provided to the Federation accompanied by a documented recommendation from the Affiliate
- Federation reviews submitted materials and may perform a site visit
- Federation CEO makes final decision for approval
- Application returns to Affiliate and Applicant with decision

Additionally, the sub-group concluded that all existing Heritage competitions should undergo a review every five-years utilizing a new process that includes the following:

Review Process for Renewal – occurs every five years following initial designation

- Conducted by a review panel that will renew the designation once it determines the competition has:
 - Maintained its viability and has sustained itself through the years
 - Maintained its same flavor and tradition and provides the same customer experience
 - Demonstrated consistent support of the community (through charitable/monetary or other type of giving or service)
 - Demonstrated consistent sport promotion
- Only those renewals that the review panel determines do not meet the criteria would be forwarded to the CEO for final consideration

| Proponent Details | Contact Information |
|--------------------------------|---------------------------------------|
| Competitions Task Force | Leslie Mangan lmangan@usef.org |

| Linked Rules | Comments |
|--------------|----------|
|--------------|----------|

| Committee Actions |
|---------------------|
| American Saddlebred |

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|---------------------|
| Andalusian/Lusitano |
|---------------------|

Arabian

Competition Management

Connemara

Friesian

Hackney

Morgan

National Show Horse

Natl Breed & Disciplines Council - Advisory

Paso Fino

Roadster

Welsh

GR307 Classification

[...]

6. Heritage Designations

a. Heritage designations are reserved for those competitions within the sport of Equestrian **equestrian** that have been established for a long period of time and **that exemplify the best characteristics of equestrian sport, uphold high standards at their designated rating or level, demonstrate sustainability and value within the community, and continue to contribute** have made a substantial contribution toward the development and promotion of the sport of equestrian, both within the sport and as well as within the broader community, by achieving, maintaining, and promoting the equestrian ideals of sportsmanship and competition.

b. Approval for all Heritage Designations requires:

1. Documented recommendation by the Federation Recognized Affiliate Association primarily represented by the competition;

~~2. Recommendation~~ **Approval** by the Federation CEO;

~~2. Approval by the Federation Affiliate primarily represented by the competition;~~

~~3. Approval of the Federation Board of Directors.~~

3. Heritage Designation will be granted for a period of five years. In order to maintain the designation, each competition must successfully undergo a review process every five years thereafter.

c. Heritage designation may be removed by a two-thirds vote of the Federation Board of Directors **if the competition no longer fulfills the requirements when applying for redesignation.**

d. Eligibility for Heritage Designation requires:

1. Minimum of 25 consecutive years of operation **with a Federation license** excluding any Act of God interruption **and a clean compliance record with no major compliance issues;**

2. Application by the Competition Licensee **Invitation from the representing affiliate to the license holder to apply for Heritage status;**

3. The Competition must be in good standing with both the Federation and the representing affiliate;

4. Significant involvement **contribution to** and support from the community where the competition is held. **The application should include evidence of a mutually supportive relationship by documenting how the community is benefitted, and how the community supports the competition. A symbiotic relationship is to be demonstrated through submission of letters from community leaders displaying community support, and feedback collected from competitors that have attended the competition in the past.**

5. Significant contribution to promotion of the sport of equestrian; **the ideals of equestrian sport such as sportsmanship, horsemanship, and uniting the equine community;**

6. Widely recognized within the sport of equestrian as being a Regional, National or International level of competition, or possessing other characteristics that make it unique within the sport of equestrian **Recognition by the equestrian community as a competition that exemplifies the best characteristics of sport at its designated rating or level, upholds high standards, and demonstrates sustainability with high quality and unique character.**

e. Heritage competitions are permitted and encouraged to advertise their status as Heritage competitions. **The Federation will support Heritage competitions through promotional and marketing materials.**

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/30/2022 | |

Rule Change Intent

The total number of renewing Alliance Partner organizations has continued to decrease over the past ten years. The requirements and responsibilities associated with obtaining Alliance Partner status have become more restrictive and onerous in the past few years making the classification less desirable and not offset by the few benefits these organizations receive for obtaining the classification. In 2022, the total number of organizations that renewed their Alliance Partner status had lowered to 14. The amount of staff time dedicated to processing the renewal applications and verifying compliance with the Alliance Partner obligations far exceeds any benefit realized by the Federation. In June, 2022, USEF stopped accepting new Alliance Partner applications and it will not seek renewal from the existing Alliance Partners for the 2023 year. Organizations or businesses seeking to run a league or series at USEF competitions will register with the USEF and pay an applicable fee. Additionally, Education Partner organizations (formerly considered a sub-set of Alliance Partners) or any other organizations wishing to maintain a formal connection with USEF (e.g., UPHA) can do so by having USEF draft individual contracted agreements with each entity to codify the mutually agreed-upon terms. This rule change is submitted to remove all references to Alliance Partners from the USEF Rule Book beginning with the 2024 competition year.

| Proponent Details | Contact Information |
|-------------------|---------------------------------------|
| USEF Officers | Leslie Mangan lmangan@usef.org |

| Linked Rules | Comments |
|--------------|----------|
| | |

| Committee Actions |
|-------------------|
| Arabian |

GR204 Affiliated Associations and Associated Youth Organizations

1. Recognized Affiliate Associations

Recognized Affiliate Associations consist of corporations, organizations, and associations in good standing that have been approved by the Board of Directors of the Federation. Recognized Affiliate Associations shall comply with and be bound by the bylaws and the Rules of the Federation and decisions of the Federation including those of the Hearing Committee, and must pay annual fees and/or dues as determined by the Federation. Recognized Affiliate categories shall include:

- a. International Discipline Associations where the discipline is recognized through the Federation to the FEI or the USOPC. The Federation may only recognize one International Discipline Association for each discipline recognized by the FEI. International Discipline Associations are sometimes referred to as the "FEI Affiliates"; or
 - b. National Associations where the national breed or discipline has competition rules which have been approved by the Board of Directors of the Federation for inclusion in the Federation's Rulebook. The Federation may only recognize one national breed/discipline association for each breed or discipline with competition rules in the Rulebook. If a Recognized National Affiliate Association ceases to affiliate with the Federation, the Board of Directors may in its discretion replace the organization that has seceded or been removed for cause with another association involving the same breed or discipline or the Board of Directors may replace the organization with an appropriate Federation Breed or Discipline Committee. Such Committee shall be deemed a Recognized National Affiliate Association for purposes of Bylaw 303. Recognized National Affiliate Association requirements are established by the Board of Directors from time to time.
- ~~2. Alliance Partners consist of corporations, associations, educational institutions offering equine related programs, or other organizations approved by the Federation. Alliance Partners must pay annual fees and/or dues as determined by the Federation.~~
3. Any Alliance Partner **local or state organization or association** that is also a member of **affiliated with a Federation Recognized Affiliate** may utilize only the applicable Breed/Discipline rules contained in the Federation Rulebook as a guideline for conducting non-Federation competitions. Such use does not include access to the Federation regulatory process. The use of any other Federation rules is prohibited unless written permission is granted by the Federation.
4. Any non-Federation competitions using the applicable Breed/Discipline rules as described in .3 above must give notice to exhibitors in the prize list that Federation rules do not apply.

[...]

CHAPTER 9 COMPETITION PRIZE LISTS AND ENTRIES

SUBCHAPTER 9-C SUBMISSION, ACCEPTANCE, AND REFUSAL OF ENTRIES

GR915 Limiting Entries

[...]

3. Leagues, Series, and Finals:

a. Definitions:

1. "Finals" means any championship, trophy or other award final, league final or other final class or final event with entries based upon the outcomes of earlier contests.
2. "System" means classes or events which are part of a league, series, ranking/tracking lists or championship, final trophy or other award system, whether or not generated or tracked by a computer program or otherwise.

b. All of the following requirements must also be met:

1. The league or series must be run under the auspices of either a Recognized Affiliate or **have registered with an Alliance Partner** of the Federation **and paid the applicable fee**.
2. All the qualifying classes must be held at **Federation** licensed competitions. ~~or at competitions recognized by a Recognized Affiliate or an Alliance Partner of the Federation.~~
3. The league or series must award the qualifying classes to licensed competitions on an equal basis. If a licensed competition meets the requirements to hold a qualifying class and wishes to do so, it must be given the opportunity.
4. The **principal of the** organization or individuals financially responsible for the **league or series system**

must be USEF **Federation Senior Active** Members, ~~Federation Recognized Affiliates~~, or ~~Federation Alliance Partners~~ and must agree to be bound by and comply with all applicable Federation rules in the conduct of the ~~system~~ **System** and its application in the league or series.

5. If there is an award category for classes in the league or series and the above requirements are met, HOTY points will be awarded for the qualifying classes and for the finals held at licensed competitions.
6. If the finals of a league, series, or other ranking system are not held at a licensed competition, HOTY points for the finals will not be awarded.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/31/2022 | |

Rule Change Intent

Currently if competition management disputes the timeliness of their submission and the late fine, they can request a procedural review before the Co-Chairs of the Hearing committee or their designees, provided the request is received within 30 days of receipt of the notice of the fine. This process adds unnecessary time to the process and can be resolved in a timelier manner by allowing these submissions to be reviewed through a staff review process.

Proponent Details Contact Information

Competition Management

Lisa Owens

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Linked Rules Comments

Committee Actions

GR1214 Results

[...]

~~4. If management disputes that the results were not timely filed or that the above fine is not properly owing, it may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the automatic penalty.~~

GR1212 Prize Lists

1. A copy of the prize list must be received by the Federation Office at least thirty (30) days prior to the competition. Prize list must be ~~forwarded by mail with proof of delivery or~~ submitted electronically via e-mail, with staff confirming receipt. If the prize list is not received thirty (30) days prior to the competition, the competition will be invoiced as outlined in GR1212.2. Copies also must be forwarded to the Federation steward or technical delegate and to the judges.
2. If the prize list is not received thirty (30) days prior to the competition, the Federation shall assess a fee . If the fee is not paid, it shall be added to the amount of dues for the ensuing year and future competition dates will not be awarded until both penalty and dues have been paid.
3. If management disputes that the prize list was not timely filed or that the above fine is not properly owing, it may request a ***waiver of this fine, provided they can show good cause.***
~~procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fine upon a finding of good cause why the prize list was not timely filed and/or a finding that extreme hardship results from the automatic penalty. See Chapter 9 for requirements regarding prize lists.~~

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/30/2022 | |

Rule Change Intent

In the interest of growing the sport, USEF looks to create a Competition Lite model specifically tailored for hunter/jumper equestrians who are seeking entry-level opportunities to participate in a safe, fair, and accessible competition environment offered by USEF-licensed competitions. The Competition Lite model offers competition organizers a user-friendly and affordable product that offers the same benefits and protections of a Regular license with reduced barriers and lower costs.

Proponent Details Contact Information

Competitions Task Force

Leslie Mangan

lmangan@usef.org

Linked Rules Comments

GR 821 (047-22)

Committee Actions

Competition Management

National Hunter Committee

SUBCHAPTER 3-B COMPETITION CLASSIFICATION

GR310 Restrictions on Local Competitions

1. The total cash prizes shall not exceed **five hundred dollars (\$500.00)**. Except Open Western Division (see GR310.5), 100% sweepstakes, and Reining Competitions; not including value of trophies offered. **For Hunter and/or Jumper Lite competitions, the value of any in-kind gifts and awards shall count toward the total amount of prize money offered and cannot exceed five hundred dollars (\$500.00) total for the competition.**
2. The designation Local Competition must be stated on the cover of the prize list.
3. The Federation and applicable Federation Recognized Affiliate Association non-member/Show Pass fee will not apply.
4. Local Competitions benefit from all the general rules of the Federation and must abide by applicable division rules unless class specifications are printed otherwise in the prize list.
5. Western Division competitions may retain Local Competition status regardless of the amount of prize money offered.
6. Hunter Division competitions or competitions restricted to Hunter/Jumping Seat Equitation must obtain a Regular Competition License ~~and are not eligible for Local Competition status.~~ **or a Lite competition license. Competitions offering any Hunter/Jumping Seat Equitation Medal classes with a National Year-End Final are not eligible for Lite competition status and must obtain a Regular competition license.**
7. Lite. Beginning December 1, 2022, Lite Competitions are a subtype of Local Licenses and are to be conducted in accordance with the General Chapters and applicable breed/discipline Chapter, except as stated otherwise below (Exception: Open Dressage classes are not permitted to be held at Lite Competitions):
 1. License requirements
 - a. Eligibility Requirements. The following competitions are eligible to be licensed as Lite:
 1. A first-time competition;
 2. A competition that has only ever been run as a Lite competition in the past three (3) years; or
 3. A competition that has not been Federation Licensed in the past three (3) years; or
 4. A competition that does not meet the above criteria may submit a request to be licensed as Lite. The licensing request will be reviewed and a decision will be rendered in the Federation's Chief Executive Officer's sole discretion.
 - b. Licensing Requirements.
 1. License applications and a copy of the prize list must be submitted thirty (30) days prior to the first day of competition.
 2. Competitions may operate under a Lite license for no more than three (3) consecutive years.
 3. The licensees of (i) Lite Licensed Competitions that have operated for three (3) consecutive years or (ii) competitions that have operated under a regular competition license in any of the previous three (3) year(s) are prohibited from submitting a new application for comparable dates in an attempt to downgrade to a Lite license by the same licensee or any entity associated with the licensee for a period of two (2) years. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity.
 2. Membership Requirements
 - a. Any individual signing an entry blank as Trainer or Coach, must be an Active Competing Member of the Federation.
 3. Safe Sport Requirements
 - a. Any individual signing an entry blank as Trainer or Coach, must have completed the Safe Sport Training prior to the first day of competition.
 - b. Competition Manager(s), Secretary, and any person acting in the role of a licensed official are bound by all requirements of the USEF Safe Sport Policy.
 4. Steward and Technical Delegate requirements
 - a. Competitions must have at least one Steward or Technical Delegate who meets the following criteria:
 1. Enrolled in and pursuing a Federation Steward or Technical Delegate license and have completed the Designated Applicant Training; or
 2. Licensed Federation Steward or Technical Delegate.
 5. Federation Horse of The Year (HOTY) Points
 - a. For HOTY Points Associated with the Horse. Lite Competitions will award half-value points to all USEF Recorded horses, whose owner is a Federation Active Competing Member and meets any

applicable breed/discipline required memberships. **Exception: No Federation National or USHJA Zone HOTY points will be awarded at Hunter and/or Jumper Lite Competitions.**

- b. For HOTY Points Associated with the Rider. Lite Competitions will award half-value points to all riders who are Federation Active Competing Members and meet any applicable breed/discipline required memberships. **Exception: No Federation National or USHJA Zone HOTY points will be awarded at Hunter and/or Jumper Lite Competitions.**

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/31/2022 | |

Rule Change Intent

Welfare and Safety. This change would allow Medical Personnel , Veterinarians, Stewards, Exhibitors, etc. to respond to emergency situations and general stabling situations promptly. Stabling Identification must be placed by management so that it cannot be covered by any decorations.

Example: if any of the above-mentioned personnel gets a call to "Barn F", it is easily identifiable.

| Proponent Details | Contact Information |
|-------------------|------------------------------------|
| Penny Carpenter | Penny Carpenter pencarp@aol.com |

| Linked Rules | Comments |
|--------------|----------|
| | |

Committee Actions
Competition Management

Human and Equine, Safety & Welfare

Licensed Officials

Veterinary

GR1215 Stabling

4. It is recommended that at Level 4 and Level 5 Dressage Competitions, and at competitions with an A rated division provide, upon request of the official competition veterinarian, a suitable area, protected from the elements, secured from public view, adequately lighted, with adequate electrical supply and running water, to serve as a first aid station for the emergency treatment of ill and injured horses.

5. Additional stabling requirements for Dressage Competitions are listed in the Dressage Levels chart posted on the Federation website. BOD 6/28/21 Effective 12/1/21

6. All stabling on competition grounds (permanent and temporary) must have clearly visible identification, such as numbers, letters, or names.

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 9/21/2022 | |

Rule Change Intent

This rule change proposal modifies the Opportunity Class model for hunter/jumper competitions to ensure that these same entry-level and affordable opportunities can be offered at Regular licensed hunter/jumper competitions thereby increasing access to the sport.

| Proponent Details | Contact Information |
|-------------------------|-----------------------------------|
| Competitions Task Force | Leslie Mangan lmangan@usef.org |

| Linked Rules | Comments |
|-----------------|----------|
| GR 310 (044-22) | |

| Committee Actions |
|---------------------------|
| Competition Management |
| Jumper |
| National Hunter Committee |

GR821 Opportunity Classes

1. Opportunity Classes:

- a. may be held at breed restricted or Hunter and/or Hunter Jumper competitions with no FEI recognized classes, Western Dressage competitions, Western Regular or Local Competitions. Classes must be open to all breeds unless it is a breed restricted competition. In a breed restricted competition it must be stated in the prize list if the classes will be restricted or open. Exception: At any Federation Licensed Competition, opportunity classes may not be restricted to Friesians.
- b. are limited to 10% of the total number of the competition's classes, with a maximum of 20 Opportunity Classes per competition, whichever is less (Exception: Dressage).
- c. Dressage Competitions and Regular/Local Competitions with "Open" Dressage classes are limited to 20 Dressage Opportunity Classes per day. The 10% requirement does not apply to Dressage.
- d. may be held in addition to Exhibition Classes.

2. Opportunity Classes:

- a. do not count towards Horse of the Year Awards and the results from Opportunity Classes may not be used by any entity for a national awards program. Only with permission of the respective recognized affiliate organization may results from Opportunity Classes be used for regional awards.
- b. cannot be used as a qualifying class for any championship class held at the competition except an Opportunity Class championship at the competition.
- c. cannot be considered in reckoning Competition Championships awarded on points except an Opportunity Class championship at the competition.
- d. do not count towards the minimum number of classes nor amount of prize money offered when determining the rating of the competition.
- e. Dressage classes may be offered as Opportunity classes at Dressage Competitions or Regular/Local Competitions with "Open" Dressage classes as described below:
[...]
- f. breed restricted Dressage classes can be offered as opportunity classes at Regular/Local breed restricted competitions.
- g. Opportunity Classes for Hunter/Jumper/Equitation Divisions
 1. Opportunity Classes are for entry level riders.
 2. May be held at Federation regular or local rated competitions.
 3. All Opportunity classes and divisions **sections** must have fences 2'6" or below.
 4. Any rider that has shown in a Zone pointed division, such as a children's hunter division is not eligible.
 45. Any rider that has, ***in the last ten (10) years, competed in any rated hunter section or an Equitation class with a National year-end final is not eligible to compete in any Opportunity classes or sections.*** shown in a regular division (such as regular ponies) is not eligible.
 56. All rules and regulations in section GR821 should be followed, except GR821.7 (no crossing over into rated divisions) ***riders are not permitted to cross-enter into any non-Opportunity classes.***
 6. ***Horses may cross-enter into non-Opportunity classes if the competition prize list allows. If cross-entry is permitted, all applicable fees and membership requirements apply.***
 7. ***A maximum of five hundred dollars (\$500.00) in prize money (including the value of any in-kind awards) may be paid across all Opportunity classes at the competition.***

| Rule Change Type | Effective Date | Draft Received | Board Action |
|------------------|----------------|----------------|--------------|
| Standard | 12/1/2023 | 8/29/2022 | |

Rule Change Intent

The conflict of interest rules for officials should match regardless of the division. By creating special exceptions based on the division or type of license, level playing fields are varied across the breeds and divisions.

| Proponent Details | Contact Information |
|--------------------|------------------------------------|
| Licensed Officials | Alina Brazzil abrazzil@usef.org |

| Linked Rules | Comments |
|------------------|----------|
| GR 1304 (041-22) | |

Committee Actions

1. **See also GR1039, GR1040, GR1041, and GR107.**
2. **For the purposes of this rule, any references to “compete” includes serving in the role of trainer, coach, owner, lessor, lessee, exhibitor, rider, driver, handler, and vaulter.**
3. **The following are not permitted to compete in a class being officiated by a Judge:**
 - a. **A Judge’s family member, cohabitant, companion, domestic partner, housemate, or member of a Judge’s household;**
 - b. **A Judge’s client, employers, or employees;**
 - c. **Employers of a member of the Judge’s family;**
 - d. **A Judge’s trainer or coach;**
 - e. **A Judge’s trainer’s or coach’s clients;**
 - f. **A horse trained by the Judge or a member of the Judge’s family;**
 - g. **A horse sold by the Judge or by the Judge’s employer;**
 - h. **A horse leased by the Judge or by the Judge’s employer;**
 - i. **A horse owned by the Judge (including but not limited to syndicate and partnership shares);**
 - j. **Any individual that has received or has contracted to receive any remuneration for the sale, purchase, or lease of any horse, unless the sale or purchase has been made and fully concluded at public auction;**
 - k. **Any individual that pays board to the Judge. Retiree and broodmare board are excluded;**
 - l. **A rider whose parent, guardian, or instructor has had any financial transaction in connection with the sale, lease, board, or training of a horse with the Judge, unless the sale was made and fully concluded at public auction;**
 - m. **A rider that has been instructed, coached, or tutored with or without pay by the Judge; and**
 - i. **The conducting of clinics or assistance in group activities, unless private instruction is given, will not be considered as instruction, coaching, or tutoring.**
 - n. **The above relationships are permitted if the relationship has been permanently terminated at least 30 days prior to the start date of the competition.**
4. **For purposes of this rule, the following are considered employers: any individuals, corporations, partnerships, foundations, trusts or non-profit organizations and shareholders owning five or more percent of the stock of any corporation which employs the Judge or a member of the Judge’s family, and any officers, directors, or partners of any corporation or partnership and officers, directors, or trustees of any trust or foundation or nonprofit organization which employs the Judge or a member of the Judge’s family. The hiring of a Judge to officiate at Licensed Competitions shall not constitute employment for purposes of this rule.**
5. **Catch Riders and Independent Service Providers (defined below) are not employees for the purposes of this rule.**
 - a. **Catch Rider: An individual who is engaged, for remuneration or not, exclusively to compete, including any warm-up schooling for that immediate competition, a horse(s) owned by another with whom they have no current business relationship. A catch rider has no influence regarding the ongoing competition schedule, management, schooling, exercising, training, care, custody, or control of the horse.**
 - b. **Independent Service Provider: An individual who performs a service(s) for another and the payer has the right to control or direct only the result of the work and what will be done and how it will be done. The Independent service provider controls the details as to how the service is performed.**

Refer solely to GR1304.16 for rules pertaining to showing under Judges in the Reining Division. Refer solely to GR1304.21-26 for regulations governing showing under Hunter/Jumper/Hunter/Jumping Seat Equitation Judges and Category 1 Stewards. GR1304.1-20 shall not apply. See also GR1039, GR1040, GR1041, and GR107. Refer to GR1304.19 for rules pertaining to competing under Organizing Committees, Officials: Stewards, Ground Jurors, Veterinary Judges and Technical Delegates in the Endurance Division. See also GR1040 for restrictions on Judges.

1. **An exhibitor, coach, or trainer may not serve as a Judge, steward or technical delegate at any competition in which he/she exhibits, whether or not the classes are conducted under Federation rules. See also GR1040.1.A**

licensed Judge may officiate at the special competition, provided he/she is not or does not have a client participating in the special competition.

2. No member of a Judge's family, nor any cohabitant, companion, domestic partner, housemate, or member of a Judge's household nor any of the Judge's clients, employers or employees or employers of a member of the Judge's family may compete as trainer, coach, exhibitor, rider, driver, handler, owner, lessor or lessee in any Division, or Dressage class unless the relationship is terminated 30 days prior to the competition. For purposes of this rule included as employers are any individuals, corporations, partnerships, foundations, trusts or non-profit organizations and shareholders owning five or more percent of the stock of any corporation which employs the Judge or a member of the Judge's family, and any officers, directors and partners of any corporation or partnership and officers, directors or trustees of any trust or foundation or non-profit organization which employs the Judge or a member of the Judge's family. The hiring of a Judge to officiate at Licensed Competitions shall not constitute employment for purposes of this rule. Exception:
 - a. For the Arabian division, the aforementioned may compete in sections, which the Judge is not officiating.
3. No Judge's trainer nor any of the Judge's trainer's clients may compete as trainer, coach, exhibitor, rider, driver, handler, owner, lessor or lessee in any Division or Dressage class unless the relationship is terminated 30 days prior to the competition. Exception:
 - a. For the Arabian division, the aforementioned may compete in sections, which the Judge is not officiating.
4. No horses trained by a member of the Judge's family may compete in any division or Dressage class unless the client/ trainer relationship is terminated 30 days prior to the competition. Stud fees, retiree board and broodmare board excluded. Exception:
 - a. American Saddlebred and Hackney classes where requirements that nominations or qualifying be accomplished in advance of the competition (i.e. Futurities, Sweepstakes, Classics, etc.), a Judge may be substituted in that class for the officially appointed Judge who has a conflict. Such substitution shall not affect the restrictions referred to in GR1304.2-4 on the substituted Judge.
 - b. For the Arabian division, the aforementioned may compete in a section, which the Judge is not officiating.
5. No horse that has been sold (American Saddlebred division or leased) by a Judge or by his/her employer within a period of 90 days (Morgan and Paso Fino 30 days) prior to the competition may be shown before that Judge.
6. No horse that has been trained by a Judge within the period of 30 days (American Saddlebred, Hackney and Roadster Divisions, 90 days) prior to the competition may be shown before that Judge.
7. No one may show before a Judge who has received or has contracted to receive any remuneration for the sale, purchase or lease of any horse to or from, or for the account of the exhibitor within a period of 30 days (Welsh Division 90 days) prior to the competition unless the sale or purchase has been made and fully consummated at public auction.
8. No one may show before a Judge who boards, shows or trains any horse under the exhibitor's ownership or lease, within a period of 30 days prior to the competition. Stud fees, retiree board and broodmare board excluded.
9. No one may show before a Judge who has remunerated the exhibitor for the board or training of any horse for competition purposes within a period of 30 days prior to the competition. Stud fees, retiree board and broodmare board excluded.
10. No one may show before a Judge from whom he has leased a horse unless the lease terminated 90 days (Morgan, Paso Fino 30 days) prior to the competition.
11. No rider may compete in an Equitation class before a Judge with whom his or her parent, guardian or instructor has had any financial transaction in connection with the sale, lease, board or training of a horse within 30 days of the competition unless the sale or purchase has been made at public auction.
12. No rider may compete in an Equitation, Dressage or Western Dressage class before a Judge by whom he has been instructed, coached or tutored with or without pay within 30 days of the first day of the competition. The conducting of clinics or assistance in group activities such as Pony Clubs, Saddle Seat Young Rider Team and/or Saddle Seat World Cup Team, unless private instruction is given, will not be considered as instruction, coaching

or tutoring. Exception: Carriage Pleasure Driving—A Judge may officiate over entries (competitors and/or animals) who attended group clinics at the competition if the clinic is open to all competitors and animals entered, the clinic is advertised and available to all possible entrants, and during the clinic the Judge does not drive any animal that is entered in the competition.

6. ~~13.~~ No one shall approach a Judge regarding a decision unless he first obtains permission from the Show Committee, Competition Management, Steward or Technical Delegate who shall arrange an appointment with the Judge at a proper time and place. ***The Steward or Technical Delegate shall be present for the meeting.*** No exhibitor has the right to inspect the Judge's cards without the Judge's permission.
14. ~~No member of a steward or technical delegate's family, nor any cohabitant, companion, domestic partner, housemate, or member of a steward or technical delegate's household, nor any of the steward or technical delegate's clients may take part as a trainer, coach, lessor, lessee, exhibitor, rider, driver, handler or vaulter at a competition where the steward or technical delegate is officiating. In addition, the trainer or coach of a Steward or Technical Delegate, or an individual from whom the Steward or Technical Delegate has purchased or leased a horse within 30 days, may not participate (as rider, driver, owner, trainer or coach) at a competition where the Steward or Technical Delegate is officiating. Technical Delegates and Stewards may not officiate unless the client, trainer or coach relationship is terminated at least 30 days prior to the competition.~~
7. ~~15.~~ If a horse or person is presented to a Judge that the Judge knows is ineligible to compete under these rules, the Judge may advise the ring steward that ***they*** he/she believe the entry to be ineligible and request that the entry be excused, or the Judge may proceed to Judge the entry and report the alleged rule violation to the Federation. If a Judge has any doubt as to the eligibility of any entry, ***they*** he/she should Judge the entry and report the alleged rule violation to the Federation.
16. ~~In the Reining Horse division, a horse may not be shown under a Judge if that Judge has been owner, trainer or agent of that horse within the previous 90 days, or if said horse is ridden by a member of his/her family or by an employee of said Judge. If such a horse is entered in a competition, its entry fee shall be refunded and it is not to be exhibited. A Judge may not show to another Judge whom he/she has Judged or Judged with within five days nor may he/she Judge another Judge under whom he/she has shown or Judged with within five days. Volunteer USA Reining approved Judges utilized for equipment inspection are excluded from the five day requirement.~~
17. ~~Competition Restrictions on Judges:~~
 - a. ~~A Judge may not be an owner of any interest in a horse (including but not limited to syndicate and partnership shares).~~
 - b. ~~A Judge may not be a trainer, coach, exhibitor, rider, driver, halter handler, steward, technical delegate, lessor, lessee or manager at any Federation Licensed Competition at which he/she is officiating, including unrated classes. Exception:~~
 - c. ~~in the Eventing division and in the Dressage division, except for Dressage Sport Horse Breeding classes, horses may be shown Hors de Concours in classes where the owner is not officiating. (See GR1040.1)~~
8. ***Sixty days prior to the first day of a competition through thirty days after the last competition day, no horse or rider that has been trained by a Judge, or a Judge's employee or agent, may show before that Judge officiating at any of the following competitions:***
 - a. ***USEF Junior Hunter National Championship***
 - b. ***USEF Pony Hunter National Championship***
 - c. ***USEF Hunter Seat Medal Final***
 - d. ***USEF Pony Medal Final***
 - e. ***USEF Show Jumping Talent Search Finals***
 - f. ***ASPCA Maclay Final***
 - g. ***Washington International Horse Show Equitation Final***
18. ~~A steward or technical delegate cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition where he/she is officiating.~~
19. ~~In the Endurance Division, the other subdivisions in this Rule are applicable unless they conflict or create an ambiguity when read in conjunction with this subdivision. In that case and at all times involving competing within the Endurance Division, the following rule applies:~~

- a. The Veterinary Panel may not examine horses in competition in which he/she has an ownership interest, are owned by his/her nuclear family members or his/her spouse or children, are owned by his/her cohabitants or significant others or other persons within his/her household, or are owned by a client from whom he/she received 10% or more of his/her gross income or income benefit in the current or prior year or for whom he/she performed work on this horse in the past 30 days;
 - b. A Technical Delegate, Ground Juror or Steward may not involve him/herself in objections, complaints or other formal disputes involving horses in which he/she has an ownership interest or which are owned under any of the other examples listed above for Veterinary Panel;
 - c. Extended or nuclear family or household cohabitants or significant others or horses owned by members of the event Organizing Committee will be allowed to compete in said event, but such relationships should be disclosed in posted announcements at the event or orally at the pre-ride briefing; and,
 - d. These exceptions do not inhibit or prevent a competitor or other authorized person from asserting such conflict of interest or other issues relating to bias for scrutiny and consideration at the event. However, any such complaint or objection must be raised pursuant to applicable rules or regulations for the event and in a timely manner or they are deemed waived. This Rule is intended to set parameters to allow a Veterinary Panel or Steward perform his/her duties at rides and should be construed in all cases to provide that flexibility.
 - e. Endurance Stewards are subject to GR1304.25, GR1039, and GR1041.
20. The provisions of sections 2.19 of this rule notwithstanding, an Eventing Judge or Combined Driving Judge may officiate on the Ground Jury of an Eventing or Combined Driving competition when a competitor(s) or horse(s) listed in those sections is/are entered, under the following restrictions:
- a. The Judge must notify the Technical Delegate and the Organizer of the conflict prior to the start of competition. If the conflict is discovered after the start of competition the competitor(s) or horse(s) must either withdraw from competition or participate Hors de Concours (H.C.).
 - b. The Judge may not Judge any of the tests for the Section in which the affected competitor(s) or horse(s) are competing. For decisions regarding disqualification, elimination or penalties, the Technical Delegate will assume the role of the Ground Jury.
 - c. If an Inquiry or Protest is lodged from the Section in which the affected competitor(s) or horse(s) is/are competing, the Judge must excuse himself from the process and the Technical Delegate will assume the role of the Ground Jury.
 - d. There are no restrictions on a Judge if a competitor(s) or horse(s) listed in GR1304.2.22 participates in the Competition H.C.
21. When you are officiating as a Judge in the Hunter or Hunter/Jumping Seat Equitation divisions:
- a. You may not be a competitor, coach, trainer, rider, handler, lessor, lessee, or manager at the same competition. However, you may compete as a rider in jumper classes that you are not judging.
 - b. You may not have any ownership interest in a horse (including but not limited to syndicate and partnership shares) competing in a class in which you are officiating in the Hunter or Hunter/Jumping Seat Equitation Divisions including unrated classes. However, such horse may compete in Jumper classes at the same competition.
 - c. A member of your family may compete in Jumper classes at the same competition.
22. When you are officiating as a Judge in the Hunter or Hunter/Jumping Seat Equitation divisions, none of the following may compete as a trainer, coach, competitor, rider, owner, handler, lessor or lessee in either the Hunter or the Hunter/Jumping Seat Equitation divisions in a class in which you are officiating at that competition, unless the relationship is terminated, or the transaction is completed, at least 30 days prior to the competition:
- a. A member of your family.
 - b. A member of your household or housemate.
 - c. A cohabitant, companion, or domestic partner.
 - d. An employee. Catch Riders and Independent Service Providers (defined below) are not employees for purposes of this rule.

1. ~~Catch Rider: An individual who is engaged, for remuneration or not, exclusively to compete, including any warm-up schooling for that immediate competition, a horse(s) owned by another with whom they have no current business relationship. A catch rider has no influence regarding the ongoing competition schedule, management, schooling, exercising, training, care, custody or control of the horse.~~
2. ~~Independent Service Provider: An individual who performs a service(s) for another and the payer has the right to control or direct only the result of the work and what will be done and how it will be done. The Independent service provider controls the details as to how the service is performed.~~

- e. ~~A client.~~
- f. ~~Your trainer.~~
- g. ~~A client of your trainer.~~
- h. ~~An entity that employs you or a member of your family, which includes individuals, corporations, partnerships, foundations, trusts, non-profit organizations, and any shareholder owning five or more percent of the stock, if any.~~
- i. ~~A horse trained or shown by you or by a member of your family.~~
- j. ~~A horse sold by you or by your employer.~~

23. ~~When you are officiating as a Judge in the Jumper division at a competition:~~

- a. ~~No member of your family may compete in a Jumper class you are judging. However, said family member may compete in jumper classes you are not judging, as well as in the Hunter and Hunter/Jumping Seat Equitation Divisions.~~
- b. ~~No horse in which you have any ownership interest may compete in a Jumper class you are judging. However, said horse may compete in jumper classes you are not judging, as well as in the Hunter and Hunter/Jumping Seat Equitation Divisions.~~
- c. ~~You may compete as a rider in jumper classes of \$25,000 or more that you are not judging.~~

24. ~~When you are officiating as a Hunter or Hunter Seat Equitation Judge at a "special" competition as described in GR313, that is also held in conjunction with a licensed competition:~~

- a. ~~You may not compete as a competitor, coach, or trainer in the "special" competition. However, you may compete as a competitor, coach, or trainer in the non-special part of the competition.~~
- b. ~~You may not have a client compete in the "special" competition. However, you may have a client compete in the non-special part of the competition.~~

25. ~~When you are officiating as a Category 1 (C1) Steward at a competition:~~

- a. ~~You may not be a competitor, coach, rider, handler, lessor, lessee, trainer, or manager at the same competition.~~
- b. ~~You cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition.~~
- c. ~~None of the following may compete as a trainer, coach, competitor, rider, owner, handler, lessor or lessee at that competition, unless the relationship is terminated at least 30 days prior to the competition:~~
- d. ~~A member of your family.~~
- e. ~~A member of your household or housemate.~~
- f. ~~A cohabitant, companion, or domestic partner.~~
- g. ~~An employee.~~
- h. ~~A client.~~
- i. ~~Your trainer.~~
- j. ~~A client of your trainer.~~
- k. ~~An entity that employs you or a member of your family, which includes individuals, corporations, partnerships, foundations, trusts, non-profit organizations, and any shareholder owning five or more percent of the stock, if any.~~
- l. ~~A horse trained by you or by a member of your family.~~

- m. ~~A horse sold by you or by your employer.~~
- n. ~~A person for whom you have or are scheduled to receive any remuneration involving a horse sale, purchase, (unless at public auction), lease, or board (stud fees, retiree or broodmare board excluded).~~

~~26. Other Hunter/Jumper Regulations and Restrictions:~~

- a. ~~If a Judge believes (but is not certain) that a horse or person presented to him is ineligible to compete under these rules, the entry should be Judged, an investigation should occur and, if substantiated, the Judge should report the alleged rule violation to the Federation.~~
- b. ~~Sixty days prior to the first day of a competition through 30 days after the last competition day, no horse or rider that has been trained by a Judge or a Judge's employee or agent may show before a Judge officiating at any of the following competitions:~~
 - 1. ~~National Junior Hunter Finals.~~
 - 2. ~~National Pony Hunter Finals.~~
 - 3. ~~All USEF Hunter/Jumping Seat Equitation Medal Finals (USEF Jr. Medal, ASPCA, USEF Pony)~~
 - 4. ~~USEF Show Jumping Talent Search Finals.~~
 - 5. ~~Washington International Horse Show Equitation.~~
- c. ~~No one shall approach a Judge with regard to a decision unless he first obtains permission from the Steward, who shall arrange an appointment to meet with the Judge at a proper time and place. The Steward shall be present for the meeting.~~
- d. ~~No competitor has the right to inspect a Judge's card without the Judge's permission.~~
- e. ~~No rider may compete in an equitation class before a Judge by whom he has been instructed, coached, or tutored (with or without pay) within 30 days of the competition. Conducting clinics or assistance in group activities such as Pony Clubs, unless private instruction is given, will not be considered as instruction, coaching, or tutoring.~~
- f. ~~No rider may compete in an Equitation class before a Judge with whom his parent, guardian, or instructor has had any financial transaction in connection with the sale, lease, board, or training of a horse within 30 days of the competition unless the sale or purchase was been made at public auction.~~
- g. ~~The hiring of a Judge to officiate does not constitute employment under this rule.~~

9. The following are not permitted to compete at the same competition as a Steward or Technical Delegate:

- a. **A Steward or Technical Delegate's family member, cohabitant, companion, domestic partner, housemate, or member of a Steward or Technical Delegate's household;**
- b. **A Steward or Technical Delegate's client;**
- c. **A Steward or Technical Delegate's employee;**
- d. **A Steward or Technical Delegate's trainer or coach;**
- e. **Any individual that has purchased or leased a horse from the Steward or Technical Delegate;**
- f. **A horse owned by the Steward or Technical Delegate (including but not limited to syndicate and partnership shares);**
- g. **A horse trained by the Steward or Technical Delegate;**
- h. **A horse sold by the Steward or Technical Delegate or by the Steward or Technical Delegate's employer; and**
- i. **The above relationships are permitted if the relationship has been permanently terminated at least 30 days prior to the start date of the competition.**

10. The following are not permitted to compete in a class where the Course Designer is officiating:

- a. **A Course Designer's family member, cohabitant, companion, domestic partner, housemate, or member of a Course Designer's household;**
- b. **A Course Designer's client; and**
- c. **A horse trained by the Course Designer.**

11. The following are not permitted to compete at the same competition as a Federation Endurance Veterinarian:

- a. **A horse that is owned by the veterinarian or veterinarian's family member, cohabitant, companion, domestic partner, housemate, or member of the veterinarian's household (including but not limited to syndicate and partnership shares); and**

- b. The above relationships are permitted if the relationship has been permanently terminated at least 30 days prior to the start date of the competition.**

GR107 Clients

1. As used in GR1304, GR1006 and GR1041, “client” and “clients” of a Judge, **Course Designer**, Steward, or Technical Delegate shall include:
 - a. Any person who has received, or who has a member of his or her family who has received, horse training or instruction in riding, driving or showing in hand or in halter from the Judge, **Course Designer**, Steward, or Technical Delegate, or from said official’s employee, whether or not remuneration has been given or received, and whether or not such training or instruction took place at a Licensed Competition;
 - b. Any persons who pay horse board (excluding stud fees and broodmare board) to the Judge, **Course Designer**, Steward, or Technical Delegate, or to a member of his or her family; and
 - c. Any persons entered in a Licensed Competition as rider, driver, handler, exhibitor, owner or lessee, and members of the family of the foregoing, on an entry blank signed in any capacity by the Judge, **Course Designer**, Steward or Technical Delegate or his or her agent, employee or member of his or her family, whether or not remuneration has been given or received.
2. The conducting of clinics or assistance in group activities such as Pony Clubs, unless private instruction is given, will not be considered as instruction, coaching or tutoring.

GR1038 Conflicts of Interest and Restrictions – Licensed Officials

1. Any individual serving as a licensed official at a competition may not charge or receive direct financial benefit from tutoring an apprentice at the same competition.
2. Licensed Officials may not be the houseguest of a person who is exhibiting, or whose family is exhibiting, at the same competition.
3. A member of a manager’s family shall not officiate as a Judge, Steward, Technical Delegate, or Certified Schooling Supervisor at said manager’s competition.

GR1039 Conflicts of Interest and Restrictions – Judges (See also GR107 and GR1304)

- ~~1. A Judge may not be an owner of any interest in a horse (including but not limited to syndicate and partnership shares), nor may he be an exhibitor, trainer, coach, lessor, lessee, rider, driver, halter handler, Steward, Technical Delegate, or manager, nor may he be a family member of a competition licensee, Steward, Technical Delegate or manager at any Federation Licensed Competition at which he is officiating, including unrated classes. Exceptions: In the Eventing division and in the Dressage division, except for Dressage Sport Horse Breeding classes, horses may be shown Hors de Concours in classes where the owner is not officiating See also GR1304.17-20. For Hunter and Hunter/Jumping Seat Equitation Judges, please see GR1304.21-26. For Arabian Judges, please see GR1304.2-4.~~
2. **The following is prohibited for a Judge:**
 - a. **Be an owner of any interest in a horse (including but not limited to syndicate and partnership shares) in a class where they are officiating;**
 - b. **Be an exhibitor, trainer, coach, lessor, lessee, rider, driver, handler, Steward, Technical Delegate, Competition Secretary, Competition Manager at a competition where they are officiating, including unrated classes; and**
 - c. **Be a family member of a competition licensee, Steward, Technical Delegate, or Competition Manager.**
3. A Judge may not discuss with an exhibitor the purchase, sale, or lease of any horse during a competition at which he is officiating.
4. A Judge may not officiate more than one time within 125 radial miles during any 20 day period in the following circumstances:
 - a. In any one of the following classes: ASPCA Horsemanship, Washington International Horse Show Equitation, USEF Show Jumping Talent Search, or the USEF Hunter Seat Medal at Premier or National rated competitions

- b. In the same "A" rated section (Exception: Federation Licensed Special Competitions)
 - c. In the Andalusian/Lusitano, Arabian, Friesian, Hackney, Morgan, National Show Horse, Roadster, American Saddlebred, or Shetland division or section.
5. Paso Fino Division: A Judge may not officiate more than once within 200 road miles during any 30 day period.
- a. This restriction does not apply to Guest Judges officiating classes recognized by a national breed or discipline association for which the Federation has no division rules and does not license Judges.
 - b. Exception: If a Judge is substituted for one who is officially designated in the prize list and catalogue and who is unable to serve owing to circumstances beyond his control, the restrictions in section 4 above shall not apply with respect to the show.
6. Limitations for Andalusian/Lusitano Judges
- a. A Judge cannot officiate at two licensed competitions within the same IALHA Region (excluding the IALHA National Competition) within the same year unless the competitions are six months apart and travel distance from one competition facility to the second facility is 200 miles or greater.
 - b. A Judge licensed in the Andalusian/Lusitano Division may not officiate at more than one Regional Championship competition in that Division during any one competition year. A Judge that has officiated at an IALHA National Championship Competition is ineligible to officiate at another IALHA National Championship for the next two years.

GR1040 Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)

1. The following ~~persons at a given competition~~ are ineligible to serve as Stewards and Technical Delegates: the president, chairman, other Show Committee officers, competition secretary, manager or other competition officials or employees, Judges, trainers, or exhibitors at that competition.
- ~~2. No Steward or Technical Delegate may officiate in any competition in which any member of his family or any of his clients is judging.~~
- ~~3. No Steward or Technical Delegate may officiate at a competition if he or any member of his family has any relationship with the competition which constitutes a conflict of interest with the Steward's or Technical Delegate's duties under these rules. No member of the Steward's or Technical Delegate's family (as defined in GR123) may serve as a Federation Licensed Official, Competition Licensee, Competition Manager or Competition Secretary at the competition where the steward or technical delegate is officiating.~~
- ~~4. No member of a Steward's or Technical Delegate's family, nor any of the Steward's or Technical Delegate's clients, may take part as a trainer, coach, lessor, lessee, exhibitor, rider, driver, handler or vaulter at a competition where the Steward or Technical Delegate is officiating, including unrated classes.~~
- ~~5. In addition to the above restrictions, the following persons may not serve as the Technical Delegate at an Eventing Competition, Vaulting Competition or Dressage competition:~~
 - ~~a. A close relative of a competitor or owner of a horse entered in the competition.~~
 - ~~b. Chefs d'Equipe whose teams are entered in the competition.~~
 - ~~c. Instructors or trainers of competitors entered in the competition. A member of the Ground Jury, the coursedesigner, a Dressage or Jumping Judge at the event.~~
 - ~~d. The Director (Manager) of the competition or a member of the Director's family.~~
6. **The following is prohibited for a Steward or Technical Delegate:**
 - a. Officiate at a competition where the Steward or Technical Delegate's family or client is acting as a Judge, trainer, coach, lessor, lessee, exhibitor, rider, driver, handler, or vaulter; and**
 - b. Officiate at a competition where the Steward or Technical Delegate's family is serving as a Federation Licensed Official, Competition Licensee, Competition Manager, or Competition Secretary.**
7. Stewards and Technical Delegates are not to be used as Competition Staff, a Competition Official (Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Judge, Veterinarian, and Course Designer), FEI Official, or in any other paid position not related to their proper duties at Licensed Competitions where they are officiating with the following exceptions:
 - a. At a competition where more than one Steward or Technical Delegate is officiating, and after a Steward or Technical Delegate has entirely completed his duties for the day at that Licensed Competition, he may officiate as a Certified Schooling Supervisor if the Steward or Technical Delegate

is licensed as a Certified Schooling Supervisor. At no time may a competition have less than the requisite number of Stewards and Technical Delegates as required under GR1211 and other applicable rules.

- b. C2 Stewards who are also licensed as Dressage Technical Delegates may serve in both roles at Licensed Breed Competitions offering 'breed restricted' Dressage classes at Prix St. Georges or higher.
 - c. Eventing and Driving Technical Delegates holding Federation and FEI Technical Delegate licensure may serve in both roles at dually licensed FEI/Federation Eventing and Driving Competitions.
 - d. Federation Vaulting Technical Delegates and FEI Vaulting Stewards holding both licenses may serve in both roles at dually licensed FEI/Federation Vaulting Competitions.
8. At dually licensed FEI/Federation Dressage, Driving, Eventing, Jumping, and/or Vaulting competitions:
- a. Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates also holding licensure as a FEI Steward for Dressage, Para-Dressage, Driving, Eventing, and Vaulting are prohibited from serving in both roles on the same day(s) as Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates and FEI Stewards. (DR125.6).
 - b. C1 Stewards also holding FEI Jumping Steward licensure are prohibited from serving in both roles.
9. A Judge, Steward, or Technical Delegate cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition where he is officiating.
10. No Steward or Technical Delegate who is presently receiving, or has received within the past 30 days, any form of compensation from a competition management firm for services other than as a Federation licensed official or schooling supervisor, may officiate at any of said management's competitions.

GR1202 Manager

4. A manager cannot serve as Judge, Steward, ~~or~~ Technical Delegate, or Certified Schooling Supervisor of his own competition. A member of a manager's family cannot officiate as Judge, Steward, ~~or~~ Technical Delegate, or Certified Schooling Supervisor at said manager's competition.

CP201 Judges and Technical Delegates

1. Judges

- a. A Federation Carriage Pleasure Driving Judge must officiate at Federation Licensed Pleasure Driving Competitions. For Guest Card eligibility and restrictions, see GR1005.9
- b. A Judge may not serve as the Course Designer of the same event. For additional restrictions, refer to GR1304 and GR1040.
- c. For Driven Dressage, refer to CP524; for Coaching, refer to CP301

2. Technical Delegates (See also GR1034)

- a. A Carriage Pleasure Driving Technical Delegate must officiate at Federation-licensed open pleasure (carriage) driving competitions and for Federation Regular Member competitions that have more than 15 carriage driving classes.
 1. For additional restrictions, refer to GR1304.
- ~~a. A Technical Delegate shall not officiate at any competition in which a member of his family, a person living under the same roof, a person with whom the Judge has a financial relationship, clients or trainers are competing unless and emergency necessitates the replacement of the designated Technical Delegate.~~

DC968 Conflict of Interest

~~1. The following persons may not be Officials at an event:~~

~~1.1 Athletes and Owners of Horses taking part in the event.~~

~~1.2 Regular Trainers means: training a Horse/ Athlete for more than six days in the six month period before an Event, or any training during a period of three months before an Event.~~

~~1.3 Close relatives of Owners, Athletes, or Officials.~~

~~1.4 Persons having a financial or personal interest in a Horse or Athlete taking part in a Competition.~~

~~1.5 The Manager of an event, or members of the Manager's family or household, the Technical Delegate, Course~~

Designer or a member of the Appeals Committee (if one exists), or any other O.C. official associated with the event may not serve on the Ground Jury. A TD at an event may not assume the duties of a Judge or CD.

DC971 Technical Delegate

[...]

3. Conflict of Interest

See FEI GRs Article 158 and DC968 of these Driving Rules.

DC972 Course Designers

[...]

4. Conflict of Interest

See DC968 of these Driving Rules.

DC973 Stewards

3. Conflict of Interest

See DC 984 of these Driving Rules.

EV156 Ground Jury Qualifications and Duties

1. Qualifications

~~b. The following individuals may not serve on the Ground Jury for a particular division:~~

- ~~1. The owner, or Family of the Owner, of a Horse entered in that division;~~
- ~~2. An Athlete, or Family of an Athlete, entered in that division;~~
- ~~3. Chefs d'Equipe whose teams are entered in that division;~~
- ~~4. Trainers or Coaches of Athletes entered in that division.~~

~~c. The following are barred from serving on the Ground Jury:~~

- ~~1. The Technical Delegate or the Course Designer of the Event;~~
- ~~2. The Organizer of the Event, or a member of the Organizer's Family.~~
- ~~3. Family of the Cross-Country Course Designer or the Eventing Jumper Course Designer. See GR123.~~

~~bd. For additional restrictions, refer to GR1304, GR10, Sub-chapters 10-C and 10-H.~~

EV157 Additional Judges

2. Additional Judges are subject to the same restrictions as Ground Jury member, see EV156.1**cb**, and EV156.1d. However, if an Eventing Course Designer and Show Jumping Course Designer are different individuals, the Show Jumping Course Designer may also serve as an additional Judge if they are licensed to do so.

3. Guest Cards (see GR1005).

4. The provisions of GR1304 notwithstanding, additional Judges may officiate at an Event provided that they do not Judge any Athlete(s) or Horse(s) listed in sections .2-.18 of that rule. There are no restrictions on a Judge if a Athlete(s) or Horses(s) listed in GR1304.2-.18 participates in the Event HC.

EV158 Technical Delegate Qualifications and Duties

1. QUALIFICATIONS.

a. The Technical Delegate must be a Federation licensed Eventing Technical Delegate.

~~b. The following individuals may not serve as the Technical Delegate at an Event:~~

- ~~1. The owner, or Family of the Owner, of a Horse entered in the Event;~~
- ~~2. An Athlete, or Family of an Athlete, entered in the Event;~~
- ~~3. The Course Designer, or Family of the Athlete for the Event;~~
- ~~4. Chefs d'Equipe whose teams are entered in the competition;~~
- ~~5. Trainers or Coaches of Athletes entered in the Event;~~
- ~~6. A Ground Jury member, or a Dressage or Show Jumping Judge at the Event;~~
- ~~7. The Organizer of the Event, or a member of the Organizer's Family.~~

~~c. For additional restrictions, refer to GR1304, Chapter GR10, Subchapters 10-C and 10-H.~~

